

## **Section 5.5: Intercollegiate Athletics**

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## Introduction

Angelo State University's Intercollegiate Athletics operate under Division II of the National Collegiate Athletic Association (NCAA) and the Lone Star Conference. Student-athletes compete in football, men's basketball, women's basketball, women's soccer, women's volleyball, men's cross country, women's cross country, men's track, and women's track.

Gender equity is a high priority of intercollegiate athletics and of the Vice President for University Relations and Development (VPURD). To provide additional competitive opportunities for women, softball became an intercollegiate sport in the fall of 2001. The programs fund scholarships for women to the maximum NCAA limit and provide other resources, including physical facilities and assistant coaches, on an equitable basis with the men's programs.

### 5.5.1 Purpose

**The intercollegiate athletics program must be operated in strict adherence to a written statement of goals and objectives which has been developed by the administration, in consultation with the athletic director, with appropriate input from the faculty, and which has been given official institutional approval.**

**This statement must be in harmony with, and supportive of, the institutional purpose and should include explicit reference to the academic success, physical and emotional well-being, and social development of student athletes.**

The University is in compliance.

The Master Plan for University Relations and Development, due for completion during the fall of 2001, will establish five-year and ten-year objectives for each department in the division, including intercollegiate athletics. When finished, the Master Plan will be submitted to the President and Board of Regents for approval.

Intercollegiate Athletics has operated under a written mission and statement of goals and objectives since 1991. The Administrative Council and the Athletics Council (consisting of administrators, faculty, staff and students) both proposed and finalized a statement of athletics philosophy which would support the university's mission. On May 24, 1991, the Board of Regents of The Texas State University System (TSUS) formally adopted ASU's "Athletics Philosophy and Statement of Principles." On July 17, 2001, the President approved a revised "Athletics Philosophy and Statement of Principles," recommended by the Athletics Council, which includes explicit references to the academic success, social welfare and development, emotional well-being, and physical health and safety of student-athletes (Item III). It also specifies that operations such as admissions, recruitment,

and management of student athletes will be in accordance with NCAA Division II and Lone Star Conference guidelines.

The Athletics Philosophy and Statement of Principle establishes the connection between the institutional purpose and the athletics programs: “The athletics programs are expected to contribute toward the broader institutional mission of the university and to be fully supportive of the educational objectives of the institution” (Appendix A).

The philosophy and principles statement harmonizes with and supports the institutional purpose as expressed in ASU’s mission statement: “Cultural and athletic programs supplement academic programs to increase students’ awareness of healthy and complete lifestyles” (2001-2003 Bulletin, p. 45).

The directors of men’s and women’s athletics distribute copies of the statement of the Athletics Department’s philosophy to all student-athletes at the beginning of each academic year.

**The intercollegiate athletics program must be evaluated regularly and systematically to ensure that it is an integral part of the education of athletes and is in keeping with the educational purpose of the institution.**

**Evaluation of the athletics program must be undertaken as part of the self-study conducted in connection with initial accreditation or reaffirmation of accreditation.**

The University is in compliance.

Each year, Intercollegiate Athletics is required to submit its goals and objectives to the VPURD. At the end of the year, the athletic directors report on their progress in achieving those goals.

Like every other part of the University, Intercollegiate Athletics assesses its activities by means of reports to the Institutional Effectiveness Advisory Committee. The athletic directors identify specific improvements they can make based on their assessments. One such assessment led to the addition of women’s softball to enhance gender equity.

The Director of Internal Audit conducts a triennial fiscal audit of the athletics programs as required by Article 6 of the NCAA Constitution; the latest is for 1999-2000. Although the ASU “Statement of Principles” specifies annual audits (item X), this requirement is no longer imposed by the NCAA and will be reviewed by the Athletics Council for adjustment to the NCAA standard.

ASU conducts an Institutional Self-Study of its athletics programs every five years, in accordance with NCAA requirements (Article 6.3) and files a *Notification of Completion* form, signed by the President and then forwarded to the NCAA Membership Services. The two most recent Institutional

Self-Studies were completed on June 14, 1996, and June 5, 2001. The latter is on file in the Self-Study Office.

### **5.5.2 Administrative Oversight**

**The administration must control the athletics program and contribute to its direction with appropriate participation by faculty and students and oversight by the governing board.**

**Ultimate responsibility for that control must rest with the chief executive officer.**

The University is in compliance.

The Director of Men's Athletics and the Director of Women's Athletics manage the athletics programs. These directors report to the VPURD, who in turn reports to the President of the University on all matters pertaining to Intercollegiate Athletics. Faculty and students help to administer the intercollegiate athletics through the Athletics Council. Although Athletics Council meetings prior to 2001 were scheduled only upon request, the Council met in early 2001 and is now scheduled to meet at least once each semester.

The "Statement of Principles," as approved by the Board of Regents, vests the responsibility and authority for the administration of the athletic departments, including all basic policies, personnel, and finances, in the President (Appendix A). This accords with Article 6 of the NCAA Constitution.

**It is essential that responsibilities for conduct of the athletics program and for its oversight be explicitly defined and clearly understood by those involved.**

The University is in compliance.

ASU's organizational chart designates lines of authority for Intercollegiate Athletics.

All members of the Intercollegiate Athletics Department sign contracts specifying the duties and responsibilities for each position they hold. Responsibilities relating to teaching and coaching are also noted. All members of the Department must return their signed contracts to the President's Office to demonstrate that they clearly understand the scope and nature of their duties and responsibilities. A sample contract is appended (Appendix B).

The *Coaches' Handbook* defines responsibilities for those involved in athletics programs, including head coaches, assistant coaches, and graduate assistant coaches. In addition, coaches attend all compliance workshops and take compliance certification exams annually, and beginning in the fall of 2001, the Compliance Coordinator will also be required to attend these workshops and take these exams.

### 5.5.3 Financial Control

**All fiscal matters pertaining to the athletics program must be controlled by the administration, with ultimate responsibility resting with the chief executive officer.**

The University is in compliance.

All fiscal matters pertaining to athletics programs at the University are conducted under the responsibility and control of the Vice President for Fiscal Affairs (VPFA), designated by the President. The President has the ultimate responsibility for athletics programs, including approval of the budget and audits of expenditures, as required by the NCAA (Article 6).

ASU's Fiscal Regulations outlines accounting and financial policies. As a matter of course, the Finance Committee of the Board of Regents reviews all internal audits, including those of intercollegiate athletics. The Board of Regents holds the President accountable for all programs, including athletics.

**If external units (alumni organizations or foundations) raise or expend funds for athletic purposes, all such financial activities must be approved by the administration, and all such units shall be required to submit independent audits.**

The University is in compliance.

ASU athletics programs receive funds from private donations and the ASU Ram Club, a booster organization composed of members from the community who support the University's athletics programs. Private donations go to scholarship funds, either to named scholarships designated only for athletics or to the general athletics scholarship account. These are under the direct control of the Financial Aid Office. The ASU Ram Club is external to the University and is a non-profit corporation in the State of Texas with its own board of directors. It raises and provides funds to the University for athletics and academic purposes. According to its bylaws, funds expended must receive prior approval by ASU administrators, and any funds the ASU Ram Club donates to the athletics program must be in accordance with the University's fiscal policies. All disbursements must be made by University check, co-signed by an athletic director and the VPURD. Ram Club funds are audited annually by an outside certified public accountant, and an independent audit of the entire athletics program budget is made once every three years as prescribed by NCAA bylaws. The most recent audits are available for examination in the documentation for Section 5.5.

**The administration of scholarships, grants-in-aid, loans, and student employment must be included in the institution's regular planning, budgeting, accounting, and auditing procedures.**

The University is in compliance.

The Office of Financial Aid is responsible for the administration of scholarships, grants-in-aid, loans, and student employment, all of which are included in the University's regular planning, budgeting, accounting, and auditing procedures. The accounts manager in this office tracks the academic eligibility of student-athletes who have athletics scholarships and other financial aid, as well as tracking other students with scholarships and financial aid.

**All income, from whatever source, and expenditures for the athletics program must have appropriate oversight by an office of the institution that is independent of the athletics program.**

**All such income and expenditures must also be appropriately audited.**

The University is in compliance.

Income for the Athletics Program comes from three sources: student service fees, gate receipts, and donated funds. The Ram Club donates \$20,000 a year that goes into the athletics accounts for uses such as recruitment and tutoring. Direct scholarship donations for both named scholarships awarded to athletes and for the general athletics scholarship account are under the control of the Financial Aid Office. As previously stated, the Ram Club performs annual audits and is included in the audits made once every three years as prescribed by NCAA bylaws (6.2.3.1).

In accordance with ASU's "Athletics Philosophy and Statement of Principles," all athletics-related income from non-University sources for coaches and athletic administrators is reviewed and approved by the University (Item IX). Athletics programs, like other University organizations sponsoring summer camps are required to submit financial statements for review and approval.

Expenditures for intercollegiate athletics activities are handled in the same manner as all other University expenditures. Regular University purchasing and budgetary procedures are followed. Employees follow established accounting procedures when disbursing departmental funds. Athletics, like all other departments on campus, has its own separate budget accounts. The two athletic directors manage their respective budgets in close cooperation with the VPURD and the VPFA. Audits are conducted in accordance with institutional policy, state law, NCAA bylaws, and sound management practices. All relevant audits are available for examination in the documentation for Section 5.5.

#### 5.5.4 Academic Program

**Institutions must have clearly stated written policies pertaining to the recruitment, admission, financial aid, and continuing eligibility of athletes and, with faculty participation, must annually monitor compliance with those policies.**

The University is in compliance.

All matters concerning the recruitment, continuing eligibility of student-athletes, admission, and financial aid are clearly stated in the *NCAA Manual*, the governing document of the athletics program at ASU. Following the manual's guidelines, the Athletics Department has written an instruction sheet on recruitment, which is distributed to all coaches, and has outlined the ASU policy on continuing eligibility of student-athletes in the "Statement of Principles" (Item VI). In addition, general university policies on admission and financial aid that pertain equally to student athletes and to other students are found in the *2001-2003 Bulletin* (pp. 46-90, 91-117).

Recruitment of athletes and continuing eligibility of student-athletes are also discussed in the *Women's Athletic Handbook*. It would be most helpful if such a handbook were available to cover all these matters—recruitment, eligibility, admission, and financial aid—for male athletes as well.

Faculty participation in policy development is mandated by the NCAA; a faculty member serves as the University's faculty athletics representative to the NCAA. The Athletics Council is the primary connection between the faculty and Intercollegiate Athletics.

Individuals currently responsible for annual monitoring of policy compliance are as follows:

1. Director of Men's Athletics
2. Director of Women's Athletics
3. Assistant Head Football Coach
4. Associate Registrar
5. Faculty Athletics Representative
6. Undergraduate Academic Deans

The athletic directors are required to attend at least one NCAA or Lone Star Conference compliance workshop per year. They in turn conduct compliance seminars for athletics staff. The last workshop attended by the athletic directors was in June 2001. During the summer of 2001, the Compliance Coordinator joined the athletics program. His position subsumes the compliance monitoring duties of the first three individuals listed above.

**The implementation of academic admission and financial aid policies must be the responsibility of administrators and faculty not connected with the athletics program.**

The University is in compliance.

Admission and financial aid policies are administered by ASU personnel who have no connection with Intercollegiate Athletics. Financial aid decisions and policies are the responsibility of the Director of Financial Aid. The Director of Admissions and Retention implements admission policies. Neither Financial Aid nor Admissions and Retention is connected with Intercollegiate Athletics.

NCAA-defined financial aid restrictions that apply to student-athletes are monitored by the appropriate athletic director, the Accounts Manager, and the Office of the VPFA to make certain that ASU remains in full compliance at all times. This compliance is especially crucial because of the importance of these matters to SACS, the NCAA, and to the Board of Regents of The TSUS. These matters will be part of the duties of the Compliance Coordinator.

**If there are special admissions for athletes, they must be consistent with the institutional policy on special admissions for other students and be under the control of regular academic policies, procedures, and structures.**

The University is in compliance.

There are no special admission policies for athletes. They must meet the same standards and requirements all other students do.

**Academic policies governing maintenance of academic good standing and fulfillment of curricular requirements must be the same for athletes as for other students.**

The University is in compliance.

Academic standing and curricular requirements policies are the same for student-athletes as for all other students. GPA requirements (published in the *2001-2003 Bulletin*, p. 147) apply to all students at ASU. Exceptions are not granted to student-athletes on the basis of their participation in intercollegiate athletics. Curricular requirements are established by the various departments and colleges, and all students are required to comply with those requirements without regard to their status as student-athletes.

Moreover, student athletes tend to be more successful than the student population as a whole. As Table 5.5.4 indicates, persistence rates for student-athletes have exceeded those for all students in three of the four previous years (all except 1998-1999).

**Table 5.5.4**

**Persistence Rates (M=Men's; W=Women's)**

<b>Percent of first-time, full-time degree-seeking freshmen who earn a baccalaureate degree within six academic years, by sport</b>												
	<b>2000-2001</b>				<b>1999-2000</b>				<b>1998-1999</b>			
	<i>All Students</i>		<i>Student-Athletes</i>		<i>All Students</i>		<i>Student-Athletes</i>		<i>All Students</i>		<i>Student-Athletes</i>	
	28		45		33		54		38		35	
	<i>M</i>	<i>W</i>	<i>M</i>	<i>W</i>	<i>M</i>	<i>W</i>	<i>M</i>	<i>W</i>	<i>M</i>	<i>W</i>	<i>M</i>	<i>W</i>
	28	28			29	38			32	43		
<b>All Sports</b>			<b>40</b>	<b>55</b>			<b>50</b>	<b>60</b>			<b>32</b>	<b>40</b>
<b>Basketball</b>			*	<b>33</b>			<b>50</b>	<b>50</b>			<b>13</b>	<b>50</b>
<b>CC/Track</b>			<b>50</b>	<b>75</b>			<b>25</b>	<b>88</b>			<b>50</b>	*
<b>Football</b>			<b>38</b>	-			<b>56</b>	-			<b>35</b>	-
<b>Other</b>			-	<b>50</b>			-	<b>20</b>			-	<b>57</b>

Data for 2000-2001, 1999-2000, and 1998-1999 are from NCAA reports. A "\*" in a table indicates three or fewer participants.

Student-athlete one-year retention rates and freshman student-athlete GPAs, as reported to the Legislative Budget Board, also tended to be slightly higher than those for all freshman students. (These reports were required only for 1998-99 and 1999-2000.)

The academic advising of athletes is currently the responsibility of the men's and women's athletic directors. In general, student-athletes use the normal academic support networks that all students use: faculty consultations, personal counseling, the mathematics tutoring program, and the Language Learning Center. However, for the last three years, student-athletes have had their own mathematics tutor, who was hired by Intercollegiate Athletics.

**Appendix A**

**Athletics Philosophy and Statement of Principles**

One of the primary missions of Angelo State University is to provide a stimulating educational climate which will offer students maximum opportunities for academic achievement and personal growth. Angelo State University is committed to providing a wide range of high quality academic programs and strives to develop in its students those qualities which will enable them to enrich their personal lives, improve their abilities to serve and contribute to society, and become leaders in their professions and in their communities.

Angelo State University's intercollegiate athletic programs operate under Division II of the National Collegiate Athletic Association (NCAA). The University seeks to conduct all athletic competition in accordance with the principles of fair play and amateurism and in accordance with the Constitution and Bylaws of the NCAA and the Lone Star Conference. The University strives to help student-athletes reach their athletic potential by employing coaches who are educators interested in the total development of the student-athlete and who exemplify good conduct and sportsmanship, possess a high degree of integrity, have outstanding technical knowledge, and are committed to the educational mission of the University.

The athletic programs are expected to contribute toward the broader institutional mission of the University and to be fully supportive of the educational objectives of the institution. While win-loss records are important aspects of the success of the intercollegiate athletic programs, the integrity of the athletic programs and the academic progress and graduation rates of the student-athletes are more significant measures of success. The University is committed to recruiting and retaining academically qualified student-athletes who demonstrate the ability and desire to make satisfactory progress toward earning baccalaureate degrees.

In order to fulfill these goals and objectives, Angelo State subscribes to the following Statement of Principles in the administration and operation of its intercollegiate athletic programs.

### **A Statement of Principles**

**Preamble:** Angelo State University is committed to a philosophy of firm institutional control of athletics, to the unquestioned academic and financial integrity of its athletic programs, and to the accountability of the athletic departments to the values and goals befitting higher education. In support of that commitment, the Board of Regents, Administrative Officers of the University, and representatives of the faculty and athletic staff have examined and agreed to the following general principles as a guide to Angelo State University's participation in intercollegiate athletics:

- I.** The educational values, practices and mission of Angelo State University determine the standards by which the University conducts its intercollegiate athletic programs.
- II.** The responsibility and authority for the administration of the athletic departments, including all basic policies, personnel and finances, are vested in the president.
- III.** The social welfare and development, emotional well-being, and physical health and safety of student-athletes are primary concerns of athletics administration on the ASU campus.
- IV.** Every student-athlete – male and female, majority and minority, in all sports – will receive equitable and fair treatment.

**V.** The admission of student-athletes – including junior college transfers – will be based on their showing reasonable promise of being successful in a course of study leading to an academic degree. That judgment will be made by admissions officials in accordance with University policy.

**VI.** Continuing eligibility to participate in intercollegiate athletics will be based on the student's ability to demonstrate satisfactory progress as defined by NCAA Division II regulations and the academic requirements of Angelo State University. The University will closely monitor the academic progress of student-athletes and will encourage and assist them toward the completion of degree requirements within five years.

**VII.** This University will provide student-athletes with the opportunity for academic experiences as close as possible to the experiences of their classmates. It is the intent of the University that student-athletes will graduate in at least the same proportion as non-athletes who have spent comparable time as full-time students.

**VIII.** All funds raised and spent in connection with intercollegiate athletic programs will be channeled through the institution's general treasury, not through independent groups, whether internal or external. The athletic departments' budgets will be developed and monitored in accordance with general budgeting procedures on campus.

**IX.** All athletics-related income from non-university sources for coaches and athletic administrators will be reviewed and approved by the University. In cases in which the income involves the University's functions, facilities or name, contracts will be negotiated with the institution.

**X.** Angelo State University will conduct annual academic and fiscal audits of the athletic programs. The University will promptly correct any deficiencies.

Adopted by the Board of Regents,  
Texas State University System  
May 24, 1991  
*Revised 7-11-01*

## Appendix B



### ANGELO STATE UNIVERSITY OFFICE OF THE PRESIDENT

Box 11007, ASU Station • San Angelo, Texas 76909 • Phone 915-942-2073 • Fax 915-942-2038 • Email [president@angelo.edu](mailto:president@angelo.edu)

DATE

NAME  
ADDRESS

Dear NAME:

This contract letter extends to you the offer of a term appointment as a non-tenure-track Lecturer in Kinesiology and an unclassified staff position as Assistant Women's Volleyball Coach at Angelo State University for the 2000-2001 academic year at a salary of \$\_\_\_\_. Your salary for the 2000-2001 academic year will be prorated 65% from Resident Instruction for teaching as a faculty member and 35% from the Women's Intercollegiate Athletic Budget under non-pledged auxiliary enterprises for serving as Assistant Women's Volleyball Coach.

In addition to the academic year faculty appointment and your staff employment during 2000-2001, you will be provided with employment during the month of August 2001 at the same rate of pay to be paid 100% from non-pledged auxiliary enterprises.

Since the effective date of your appointment at Angelo State University will be August 14, 2000, you will be paid an additional \$\_\_\_\_ as a one-time payment for this period of employment during the month of August 2000 in addition to the regular salary for the 2000-2001 academic year. This payment will be made from the athletic budget under Non-pledged Auxiliary Enterprises.

This appointment is made in accordance with and subject to the provisions of the RULES AND REGULATIONS of the Board of Regents, The Texas State University System. The Board policy regarding appointment of faculty is covered under Chapter V, Subsection 4.1 and the employment of unclassified staff employees is covered under Chapter V, Subsection 5.1 of the Regents' RULES AND REGULATIONS. Copies of the Regents' RULES AND REGULATIONS are on file in your Department Head's office, all administrative offices, and the Porter Henderson Library.

As a member of the Kinesiology faculty, you will be responsible to your Department Head and through her to the Dean of the College of Professional Studies. Your teaching and other academic assignments will be determined by your Department Head with the review and approval of the Dean of the College. As Assistant Women's Volleyball Coach, you will be working under the Director of Women's Athletics and Women's Volleyball Coach who reports to the Vice President for University Relations and Development.

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A MEMBER OF THE TEXAS STATE UNIVERSITY SYSTEM • EQUAL OPPORTUNITY EMPLOYER

NAME  
Page 2  
DATE

As a condition of your employment at Angelo State University, you will be required to abide by and will be subject to the principles of ethical conduct as specified in Article 11.1 of the Bylaws of the National Collegiate Athletic Association (NCAA). You are also subject to the provisions of the NCAA Enforcement Provisions of Article 11.2.1 of the Bylaws of the NCAA, specifically that a coach's employment may be terminated if the coach is found to be involved in deliberate and serious violations of NCAA regulations.

Enclosed is a copy of this letter for you to sign and return to the President's Office by March 21, 2000, as an indication of your acceptance of this appointment under the terms and conditions specified in this letter.

Sincerely,

James Hindman  
President

I accept the offer as stated above.

Signature \_\_\_\_\_

Date \_\_\_\_\_

## **Findings**

### Strengths

1. Construction of the Junell Center solves problems identified in the University report “Gender Equity In Intercollegiate Athletics” (Spring 1997). The new facility will expand women’s locker rooms and provide more game practice and play area, previously found difficult to schedule.
2. The University’s introduction of women’s softball demonstrates another effort to improve compliance with regard to gender equality. One softball field, previously an intramural field, has been upgraded for this new team.
3. Degree completion rates among student athletes at the University are consistently higher than non-athletes (See table above). This reflects Intercollegiate Athletics’ effort to emphasize students’ academic and overall success.
4. Men’s and women’s athletics programs have separate directors.

### Weaknesses

1. Although the management of intercollegiate athletics at the university are managed in accordance with necessary conference organizations (NCAA Division II and Lone Star Conference), the University does not supply University-specific guidance beyond the Athletics “Philosophy and Statement of Principles.” The addition of the Compliance Coordinator position will be helpful in maintaining University-related policies.

### Recommendations and Suggestions

The Self-Study Steering Committee finds that ASU’s Intercollegiate Athletics complies with all of the criteria in Section 5.5 and makes no recommendations. The Committee does, however, make the following suggestions.

1. The Committee believes that the University should provide written policies pertaining to the recruitment, admission, financial aid, and continuing eligibility of student-athletes and should clarify, with faculty participation, how compliance with those policies will be monitored. Although policies can be identified in a variety of documents, all should be gathered into a single source, which could be an expansion of the *Women’s Athletic Handbook*. The duties of the new Compliance Coordinator for Athletics should be included in this document.
2. The Athletics Council should meet at least once per semester to keep faculty and students involved in the administration of athletics.

**Section 5.5: Athletics Compliance Grid**

Must Statement	Compliance Status	Documentation
<b>5.5.1 Purpose</b>		
<i>The intercollegiate athletics program <b>must</b> be operated in strict adherence to a written statement of goals and objectives which has been developed by the administration, in consultation with the athletic director, with appropriate input from the faculty, and which has been given official institutional approval.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">NCAA Gender Equity Survey</a></li> <li>• <a href="#">NCAA Division II Manual</a></li> <li>• <a href="#">Lone Star Conference Handbook</a></li> <li>• <a href="#">Athletics Philosophy and Statement of Principles</a></li> <li>• <a href="#">Membership of Athletics Council</a></li> <li>• [Master Plan for UR&amp;D]</li> <li>• <a href="#">“Gender Equity In Intercollegiate Athletics” (Spring 1997)</a></li> </ul>
<i>This statement <b>must</b> be in harmony with, and supportive of, the institutional purpose and should include explicit reference to the academic success, physical and emotional well being, and social development of student athletes.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">Mission Statement</a></li> <li>• <a href="#">Athletics Philosophy and Statement of Principles</a></li> </ul>
<i>The intercollegiate athletics program <b>must</b> be evaluated regularly and systematically to ensure that it is an integral part of the education of athletes and is in keeping with the educational purpose of the institution.</i>	In Compliance	<ul style="list-style-type: none"> <li>• Institutional Effectiveness reports</li> <li>• <a href="#">NCAA Self-Study</a></li> <li>• <a href="#">NCAA Division II Manual</a></li> <li>• <a href="#">Audits</a></li> </ul>
<i>Evaluation of the athletics program <b>must</b> be undertaken also as part of the self-study conducted in connection with initial accreditation or reaffirmation of accreditation.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">NCAA Self-Study, 2001</a></li> <li>• <a href="#">NCAA Notifications of Completion</a></li> </ul>
<b>5.5.2 Administration</b>		
<i>The administration <b>must</b> control the athletics program and contribute to its direction with appropriate participation by faculty and students and oversight by the governing board.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">NCAA Division II Manual</a></li> <li>• <a href="#">Athletics Council Membership</a></li> <li>• <a href="#">Athletics Philosophy and Statement of Principles</a></li> </ul>
<i>Ultimate responsibility for that control <b>must</b> rest with the chief executive officer.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">NCAA Division II Manual</a></li> <li>• <a href="#">Lone Star Conference Handbook</a></li> <li>• <a href="#">Organizational Chart</a></li> </ul>

Must Statement	Compliance Status	Documentation
<i>It is essential that responsibilities for the conduct of the athletics program and for its oversight be explicitly defined and clearly understood by those involved.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">Faculty Contracts</a></li> <li>• <a href="#">Coaches' Manual</a></li> </ul>
<b>5.5.3 Financial Control</b>		
<i>All fiscal matters pertaining to the athletics program <b>must</b> be controlled by the administration, with ultimate responsibility resting with the chief executive officer.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">NCAA Division II Manual, Article 6</a></li> <li>• <a href="#">Lone Star Conference Handbook</a></li> <li>• <a href="#">Fiscal Regulations</a></li> </ul>
<i>If external units (alumni organizations or foundations) raise or expend funds for athletic purposes, all such financial activities <b>must</b> be approved by the administration, and all such units <b>shall be required</b> to submit independent audits.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">NCAA Division II Manual, Article 6.2</a></li> <li>• <a href="#">NCAA Intercollegiate Athletics Audit Report, Nov 1999</a></li> <li>• <a href="#">Ram Club Bylaws</a></li> <li>• <a href="#">Ram Club Audits</a></li> </ul>
<i>All income, from whatever source, and expenditures for the athletics program <b>must</b> have appropriate oversight by an office of the institution that is independent of the athletics program.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">NCAA Division II Manual, Article VI</a></li> <li>• <a href="#">Lone Star Conference Handbook</a></li> <li>• <a href="#">ASU Fiscal Regulations</a></li> <li>• <a href="#">Athletics Philosophy and Statement of Principles</a></li> </ul>
<i>All such income and expenditures <b>must</b> also be appropriately audited.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">Ram Club Audits</a></li> <li>• <a href="#">Intercollegiate Athletic Audits</a></li> <li>• <a href="#">Financial Aid Audit</a></li> <li>• <a href="#">Admissions Office Audit</a></li> </ul>
<b>5.5.4 Academic Program</b>		
<i>Institutions <b>must</b> have clearly stated written policies pertaining to the recruiting, admission, financial aid, and continuing eligibility of athletes and, with faculty participation, must annually monitor compliance with those policies.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">NCAA Division II Manual</a></li> <li>• <a href="#">Lone Star Conference Handbook</a></li> <li>• <a href="#">Athletics Council Membership</a></li> <li>• <a href="#">Athletics Philosophy and Statement of Principles</a></li> <li>• <a href="#">Recruiting Policies</a></li> <li>• <a href="#">Women's Athletic Handbook (2000 revision)</a></li> </ul>
<i>The implementation of academic, admission and financial aid policies <b>must</b> be the responsibility of</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">NCAA Division II Manual</a></li> <li>• <a href="#">Lone Star Conference Handbook</a></li> <li>• <a href="#">ASU Fiscal Regulations</a></li> </ul>

Must Statement	Compliance Status	Documentation
<i>administrators and faculty not connected with the athletics program.</i>		
<i>If there are special admissions for athletes, they <b>must</b> be consistent with the institutional policy on special admissions for other students and under the control of regular academic policies, procedures and structures.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">2001-2003 Bulletin (pp. 46-90)</a></li> </ul>
<i>Academic policies governing maintenance of academic good standing and fulfillment of curricular requirements <b>must</b> be the same for athletes as for other students.</i>	In Compliance	<ul style="list-style-type: none"> <li>• <a href="#">2001-2003 Bulletin (pp. 147-188)</a></li> <li>• <a href="#">NCAA Graduation Rates Reports (2001, 2000, 1999)</a></li> </ul>