COURSE DESCRIPTION: Basic principles of investments; types of investment media; sources of information; characteristics of stocks and bonds; selection of securities; construction of an investment portfolio; and investment companies

STUDENT LEARNING OBJECTIVES:
At the end of the course students should be able to:

- Analyze investment opportunities and make selections that reflect individual risk and return. Understand how U.S. and international financial markets operate.
- Utilize investment information resources to gain current knowledge of securities and markets.

Student learning objectives will be assessed using assignments and exams.

GRADING: There will be 4 exams, including the final exam. Each exam will count 20% of the final grade. In addition to exams, there will be assignments due throughout the semester and the average of these assignments will count for the other 20% of the final grade. These assignments will be problems that will be very similar to problems on the exams.

Exam material is as follows:

<table>
<thead>
<tr>
<th>Exam</th>
<th>Chapters</th>
</tr>
</thead>
<tbody>
<tr>
<td>EXAM I</td>
<td>Chapters 1-4</td>
</tr>
<tr>
<td>EXAM II</td>
<td>Chapters 6-8</td>
</tr>
<tr>
<td>EXAM III</td>
<td>Chapters 9-11</td>
</tr>
<tr>
<td>FINAL EXAM</td>
<td>Chapters 12, 14</td>
</tr>
</tbody>
</table>

The exams will be a combination of true-false and multiple choice questions plus problems in a short answer format, where you will give your answer to the problem on the exam.
Submitting Assignments and Exams

**All assignments and exams will be done in Blackboard.** Students must be able to utilize Blackboard functionality. Deadlines are absolute and assignments and exams are due by the due date. If I do not have possession of your assignment or exam by the due date, then it is late and will not be accepted. This includes accidentally submitting the wrong assignment or an incomplete assignment and not realizing your error until after the due date. Also, .pages (which I think is the default word processor for Mac computers) are not acceptable as they do not open. If you attach files, make sure I am able to open it in either a pdf or doc file. If you need technical support, contact the Technology Service Center at 325-942-2911 or email at [helpdesk@angelo.edu](mailto:helpdesk@angelo.edu) or the Elearning Center (especially for Blackboard issues) at 325-486-6263.

The only exception to the late work policy is if you are physically incapacitated due to severe illness or injury (a certified medical statement is necessary) or the ASU technology system is down. Excuses such as weather, technology issues on your part, and work or family issues do not count. One way to avoid being late is to start on assignments prior to the due date.

The schedule at the bottom of the syllabus shows the due dates for assignments and dates of the exams.

**STUDENTS WITH DISABILITIES:** Reasonable accommodation for students with disabilities will be made. Students desiring academic accommodations must contact the Student Life Office and are encouraged to do so early in the semester.

**ACADEMIC HONESTY:** Students are expected to maintain complete honesty and integrity in the classroom. Students are responsible for understanding and complying with the university Academic Honor Code and the ASU Student Handbook. Cheating will not be tolerated in this course and is a violation of the University's academic policy. Any student found guilty of dishonesty in academic work is subject to disciplinary action.

**COURSE DROP:** Information concerning how to drop this course and important drop dates is available through the registrar's office.

**GRADE APPEALS AND INCOMPLETES:** As stated in the ASU Operating Policy and Procedure, a student may appeal a final grade in a course. Details are available in Operating Policy 10.03. If a student is unable to complete a course due to illness or misfortune, an incomplete grade may be available. Details are available in Operating Policy 10.11.
College of Business Code of Ethics

Students, faculty, administrators and professional staff of the College of Business should always:

- Be forthright and truthful in dealings with all stakeholders
- Take responsibility for one’s actions and decisions
- Serve as an example of ethical decision-making and behavior to others
- Admit errors when they occur, without trying to conceal them

Respect the basic dignity of others by treating them as one would wish to be treated

Course Syllabus Statement on Required Use of Masks/Facial Coverings by Students in Class At Angelo State University

As a member of the Texas Tech University System, Angelo State University has adopted the mandatory Facial Covering Policy to ensure a safe and healthy classroom experience. Current research on the COVID-19 virus suggests there is a significant reduction in the potential for transmission of the virus from person to person by wearing a mask/facial covering that covers the nose and mouth areas. Therefore, in compliance with the university policy students in this class are required to wear a mask/facial covering before, during, and after class. Faculty members may also ask you to display your daily screening badge as a prerequisite to enter the classroom. You are also asked to maintain safe distancing practices to the best of your ability. For the safety of everyone, any student not appropriately wearing a mask/facial covering will be asked to leave the classroom immediately. The student will be responsible to make up any missed class content or work. Continued non-compliance with the Texas Tech University System Policy may result in disciplinary action through the Office of Student Conduct.
Finance 4363 – Schedule – Fall, 2020

Week of Aug. 17-21  Chapters 1-2
Week of Aug. 24-28  Chapters 2-3  Assignment 1 Due – Monday, 8/24
Week of Aug.31-Sept.4  Chapter 4  Assignment 2 Due – Tuesday, 9/1
Week of Sept. 7 -11  Exam I  Wednesday, 9/9
Week of Sept. 14-18  Chapter 6  Assignment 3 Due – Monday, 9/14
Week of Sept. 21-25  Chapters 7-8  Assignment 4 Due – Thursday, 9/17
Week of Sept. 28-Oct. 2  Exam II  Wednesday, 9/30
Week of Oct. 5-9  Chapters 9-10  Assignment 7 Due – Tuesday, 10/6
Week of Oct. 12-16  Chapter 11  Assignment 8 Due – Monday, 10/12
Week of Oct. 19-23  Exam III  Tuesday, 10/20
Week of Oct. 26-30  Chapter 14  Assignment 10 Due – Tuesday, 10/27
Week of Nov. 2-6  Chapter 14  Assignment 11 Due – Monday, 11/2
Week of Nov. 9-13  Chapter 12  Assignment 12 Due – Friday, 11/6
Week of Nov. 16-21  Chapter 12  Assignment 13 Due – Wed., 11/11
Final Exam  Friday, Nov. 20