

**FINANCE 4363
INVESTMENTS
SUMMER, 2021**

**INSTRUCTOR: CHERYL McGAUGHEY
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OFFICE HOURS – by appointment**

TEXT: *Fundamentals of Investing* by Smart, Gitman and Joehnk (13^h edition)

SUPPLEMENTAL: Financial calculator (HP-10B II)

COURSE DESCRIPTION: Basic principles of investments; types of investment media; sources of information; characteristics of stocks and bonds; selection of securities; construction of an investment portfolio; and investment companies

STUDENT LEARNING OBJECTIVES:

At the end of the course students should be able to:

Analyze investment opportunities and make selections that reflect individual risk and return. Understand how U.S. and international financial markets operate. Utilize investment information resources to gain current knowledge of securities and markets.

Student learning objectives will be assessed using assignments and quizzes.

GRADING: There will be 3 exams and a final exam. Each exam will count 20% of the final grade. There will also be assignments from the chapters. The overall assignments will count 20% of the final grade. Exam material is as follows:

EXAM I	Chapters 1-2; 4
EXAM II	Chapters 6-8
EXAM III	Chapters 10-11
FINAL EXAM	Chapters 12-13

STUDENTS WITH DISABILITIES: Reasonable accommodation for students with disabilities will be made. Students desiring academic accommodations must contact the Student Life Office and are encouraged to do so early in the semester.

COURSE DROP: Information concerning how to drop this course and important drop dates is available through the registrar's office.

GRADE APPEALS AND INCOMPLETES: As stated in the ASU Operating Policy and Procedure, a student may appeal a final grade in a course. Details are available in Operating Policy 10.03. If a student is unable to complete a course due to illness or misfortune, an incomplete grade may be available. Details are available in Operating Policy 10.11.

College of Business Code of Ethics

Students, faculty, administrators and professional staff of the College of Business should always:

- Be forthright and truthful in dealings with all stakeholders
 - Take responsibility for one's actions and decisions
 - Serve as an example of ethical decision-making and behavior to others
 - Admit errors when they occur, without trying to conceal them
- Respect the basic dignity of others by treating them as one would wish to be treated

Finance 4363 – Summer 2021– Schedule

Monday, June 7	Chapter 1	Introduction
Tuesday, June 8	Chapter 2	Security Markets
Wednesday, June 9	Chapter 2	Margin & Short Sales & Assignment 1 Due
Thursday, June 10	Chapter 4	Time Value of Money
Friday, June 11	Chapter 4	Investment Return & Assignment 2 Due
Monday, June 14	Chapter 4	Investment Risk
Tuesday, June 15	Exam I	
Wednesday, June 16	Chapter 6	Common Stock
Thursday, June 17	Chapter 6	Common Stock & Assignment 3 Due
Friday, June 18	Chapter 7	Stock Analysis & Assignment 4 Due
Monday, June 21	Chapter 8	Stock Valuation
Tuesday, June 22	Exam II	
Wednesday, June 23	Chapter 10	Fixed Income Sec.
Thursday, June 24	Chapter 10	Types of Bonds & Assignment 5 Due
Friday, June 25	Chapter 10	Convertible Bonds
Monday, June 28	Chapter 11	Bond Valuation & Assignment 6 Due
Tuesday, June 29	Chapter 11	Bond Features
Wednesday, June 30	Exam III	
Thursday, July 1	Chapter 12	Mutual Funds
Friday, July 2	Chapter 12	Types of Funds & Assignment 7 Due
Monday, July 5	Chapter 12	Closed-End Funds & Assignment 8 Due
Tuesday, July 6	Chapter 12	Mutual Fund Services

Wednesday, July 7
Thursday, July 8
Friday, July 9

Chapter 13
Chapter 13
Final Exam

Asset Allocation
Formula Plans